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JUL 1 2 2002 Technology Center 2100

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert F. Terry

Serial No. 09/827,451

Filed:

April 6, 2001

Title: System and Method for Real Time Monitoring and Control of a Computer Machine Environment and Configuration

Profile

Examiner: not yet known

Art Unit: 2133

Atty. Dkt. No.: 10007.000110

Commissioner of Patents Washington, DC 20231

## INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the

references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

$\boxtimes$	37 CFR §1.97(b).					
	37 CFR §1.97(c). If so, then either:  Applicant certifies pursuant to 37 CFR § 1.97(e) that either:  each item of information contained in this Information  Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; Ol no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFF § 1.56 (c) more than three months prior to the filing of this Statement.					
	OR Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).					
	37 CFR § 1.97(d). If so, then both:  Applicant certifies pursuant to 37 CFR § 1.97(e) that either:  each item of information contained in this Information  Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosur Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFF § 1.56(c) more than three months prior to the filing of this Statement.					
	AND  Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).					

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

Robert F. Terry

Dated: July 2, 2002

By:

Arnold M. deGuzman

Attorney For Applicant(s)

Reg. No. 39,955

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	CERTIFICATE OF MAIL	ING	·		
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Signature:	Va				
Typed or Printed Name:	Arnold M. deGuzman	Dated:	July 2, 2002		
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Substitute for form 1449A/PTO		Complete if Known	
	Application Number	09/827,451	
INFORMATION DISCLOSURE	Filing Date	April 6, 2001	
STATEMENT BY APPLICANT	First Named Inventor	Robert F. Terry	
	Group Art Unit	2133	
(use as many sheets anecessary)	Examiner Name	not yet known	
Sheet 1 of E	Attorney Docket Number	10007.000110	

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Examiner Initials *	Cite No.1	U.S. Patent Doc	Code <sup>2</sup> ARK	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	5,919,258	B1	Kayashima, et al.	July 6, 1999	
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	B1	wo	PCT/US99/15352	A1	Westinghouse Electric Company	10 February 2000		
	C1	wo	PCT/US98/26863	A2	Tioga Systems	24 June 1999		
	D1	wo	PCT/US99/24801	A2	Evolutionary Vision Technology, Inc.	27 April 2000		
	E1	wo	PCT/US99/24801	А3	Evolutionary Vision Technology, Inc.	27 April 2000		•
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Examiner Signature	Date Considered	

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark hee if English language Translation is attached.

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)						
Sheet	2	of	2			

& TRAUCH		
	Complete if Known	
Application Number	09/827,451	
Filing Date	April 6, 2001	
First Named Inventor	Robert F. Terry	
Group Art Unit	2133	
Examiner Name	not yet known	
Attorney Docket Number	10007.000110	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issinitials * No.1			T²			
	F1	"Mechanism For Trusted Computing Base Definition And Checking", IBM Technical Disclosure Bulletin; February 1992, pgs. 188 – 191, Vol. 34 No. 9; IBM Corp., Armonk, NY, USA.				
	G1	WILLIAM WONG, "Remote-Control Registry", Review XP-001041962; BYTE, May 1998, total pgs: 4 (3 sheets)				
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